

IMPLICATIONS OF THE LEXICAL FREQUENCY OF LABIAL-VELAR STOPS IN NORTHERN SUB-SAHARAN AFRICA FOR NIGER-CONGO RECONSTRUCTION

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- Northern sub-Saharan Africa is obviously a spread zone with a **marked areal distribution** of various linguistic features
 - Macro-Sudan belt
 - Sudanic zone
 - ...
- LV are **common in NSSA** languages
- **Typologically**, LV are known to be rather **rare**

■ Proto-languages with LV

*Gbaya (Moñino 1995), *Central Sudanic (Boyeldieu 2008), *Southern Mande (Vydrine 2005), *Guang (Snider 1990), *Upper Cross (Dimmendaal 1978), *Lower Cross (Connell 1995), *Igboid (Blench 2016 ms.)...

■ Scepticism on the relevance of LV for reconstruction

“Although labial-velar stops are widespread in Niger-Congo, their historical status is still problematic.”

(Dimmendaal 2001:377)

“[t]he presence or absence of labial-velars will not be very useful for the purpose of reconstructing remote proto-languages”

(Hyman 2011:16)

- Q₁:** What can the areality of LV tell us about the history of the languages of NSSA?
- Q₂:** What is the possible historical depth of LV in the languages of NSSA (in particular, in Niger-Congo languages)?



Given that:

- Languages with LV can **vary significantly** with respect to the **status of LV** in their phonologies and lexicons

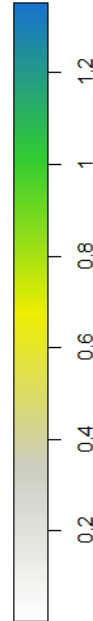
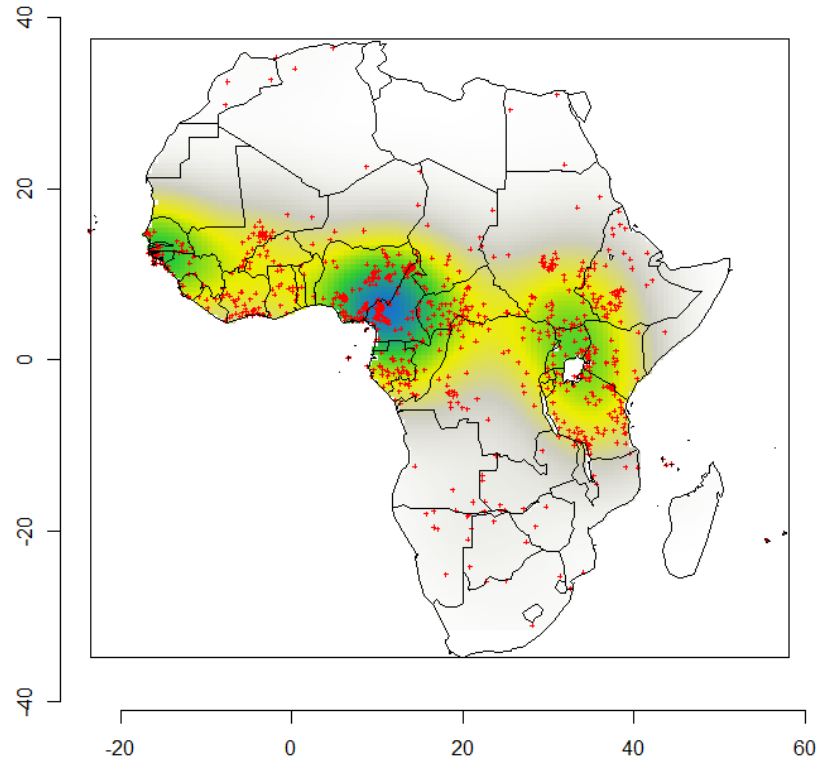
Subquestions:

- Are LV “normal” phonemes in NSSA languages?
- Are there differences between languages in the frequencies of LV in their lexicons?
- Are there geographic patterns in the LV frequency distribution?
- Are the distributions of LV within the lexicons random?
- How can we explain the observed patterns?
- What are their implications for the reconstruction of the languages of NSSA, and in particular of Niger-Congo languages?

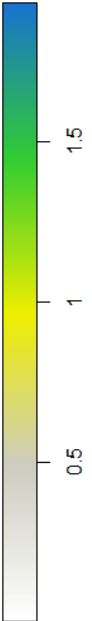
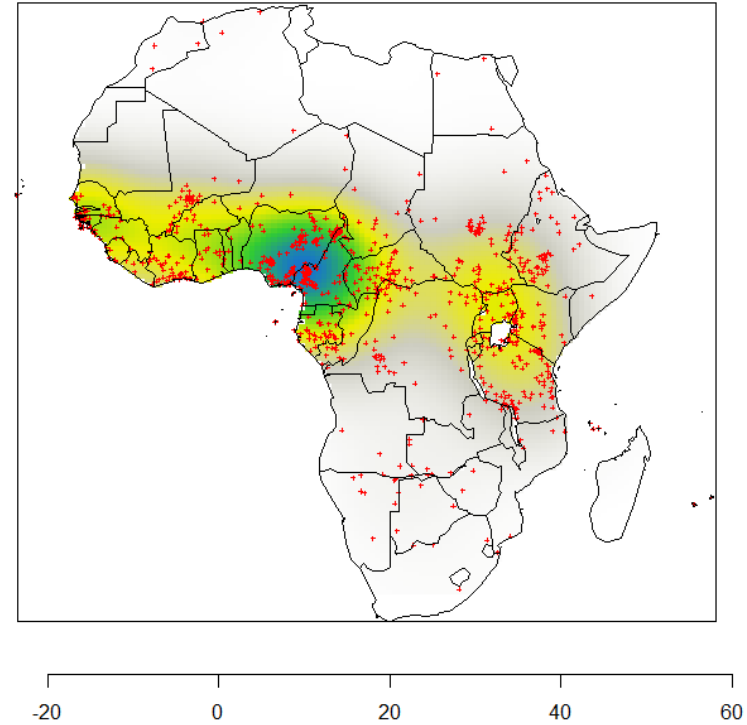
LV data sources:

- **RefLex**, www.reflex.cnrs.fr, LVFreq data
- Phoible, www.phoible.org, YN data
- Additional LVFreq data for some Mande and Bantu languages

LVall: geographic distribution



LVallYN: geographic distribution



LVall

1074 languages with frequency data:

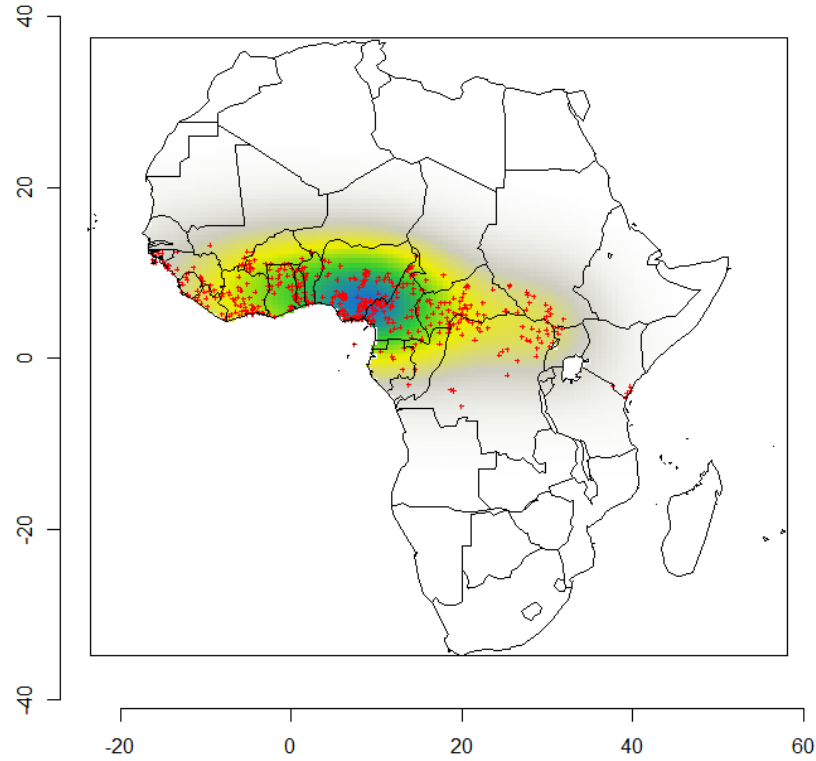
- LV & their frequency is known (336 lgs)
- No LV

LVallYN

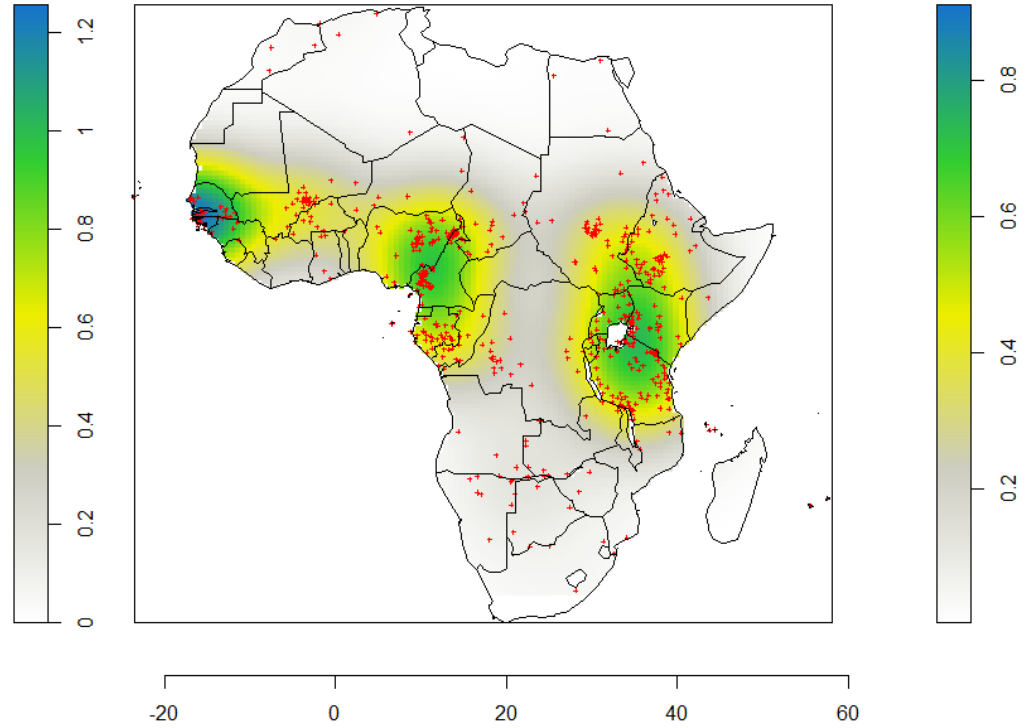
1304 languages:

- LV & their frequency is known (336 lgs)
- LV, but no frequency data (230 lgs)
- No LV

LVall_Y languages: geographic distribution



LVall_N languages: geographic distribution



LVFreq estimation

H_0 : In a lexicon, all C phonemes have equal frequency (have equal probability of occurrence)

$$LVFreq = \frac{LV_O}{LV_E * W_{LV}} * 100\% = \frac{\sum T_{LV}}{\frac{\sum T_C}{\sum P_C} * \sum P_{LV}} * 100\%$$

LV_O - observed LV count

LV_E - expected LV count

W_{LV} - LV weighting coefficient

T_{LV} - LV token

T_C - any C token

P_{LV} - LV phoneme

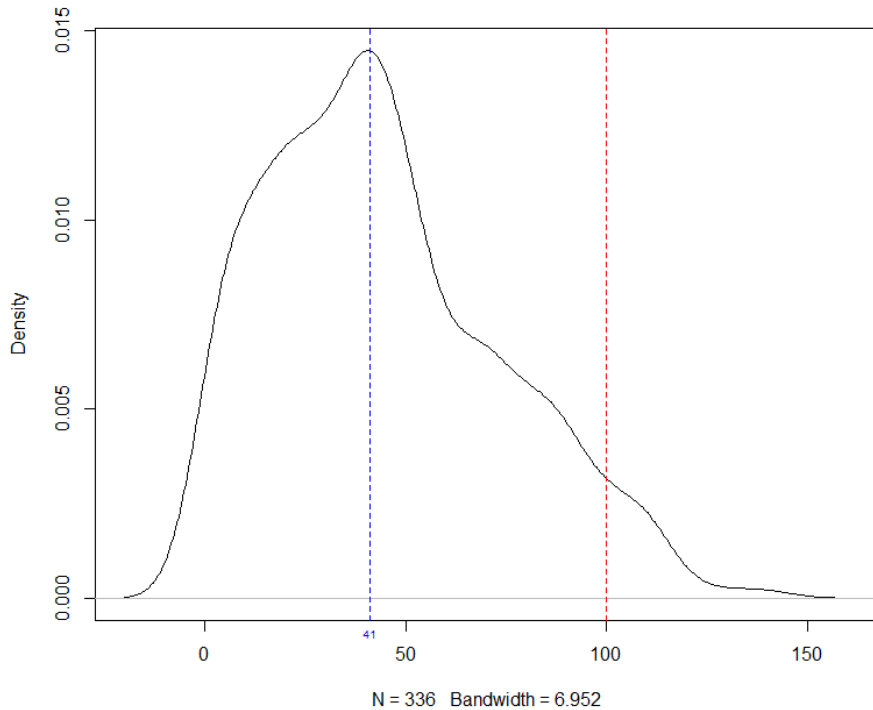
P_C - any C phoneme

LVFreq estimation

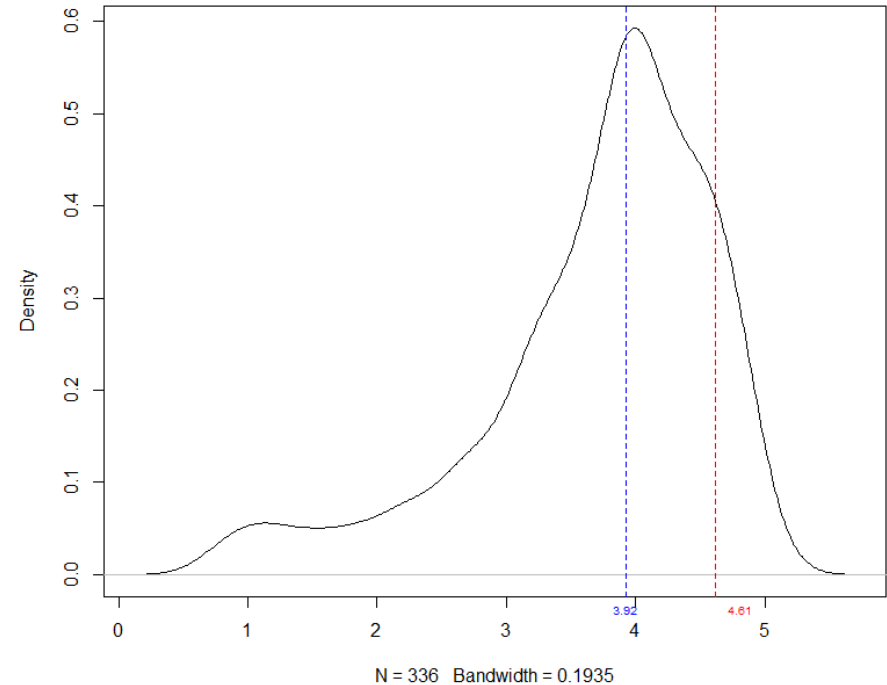
LVFreq = **0%** no LV

LVFreq = **100%** “reference LVFreq” - LV are “normal” phonemes, i.e. the observed number of occurrences of LV is the same as would be expected given the H_0

Non-zero LVFreq probability density



Log-transformed non-zero LVFreq probability density (scaled)



--- median

--- reference LVFreq

- **Log-transformation** does not help to make the data more normal
- LV are relatively **rare phonemes** in most languages that have them, which is in accordance with their typological rarity



Are the distributions of LV within the lexicons random?

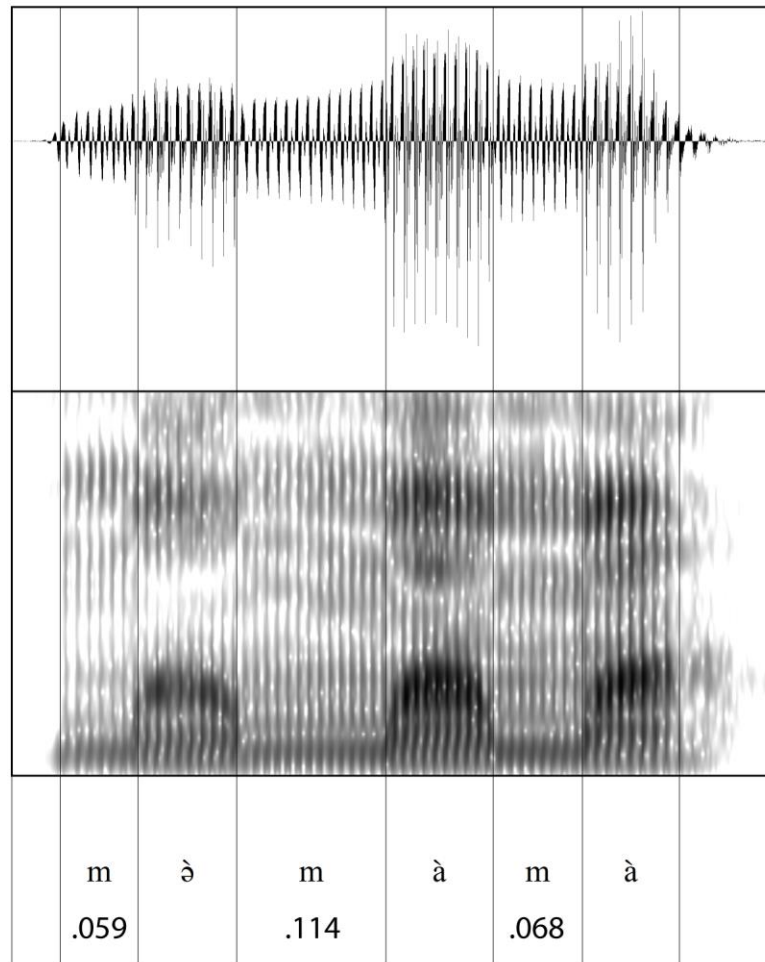
- LV tend to be less common in “basic vocabulary”
- **{H}**: LV are more common in the “**expressive**” parts of the **lexicon**, such as ideophones or property words, rather than referring expressions, such as nouns and verbs
- LV are largely restricted to the **stem-initial position**



- The correlation [LV ~ “expressive” vocabulary] is not independent of the correlation [LV ~ stem-initial position]
- **SIC-accent** (as a manifestation of a more general phenomenon of **C-emphasis prosody**) is a very important factor behind the emergence of LV in NSSA

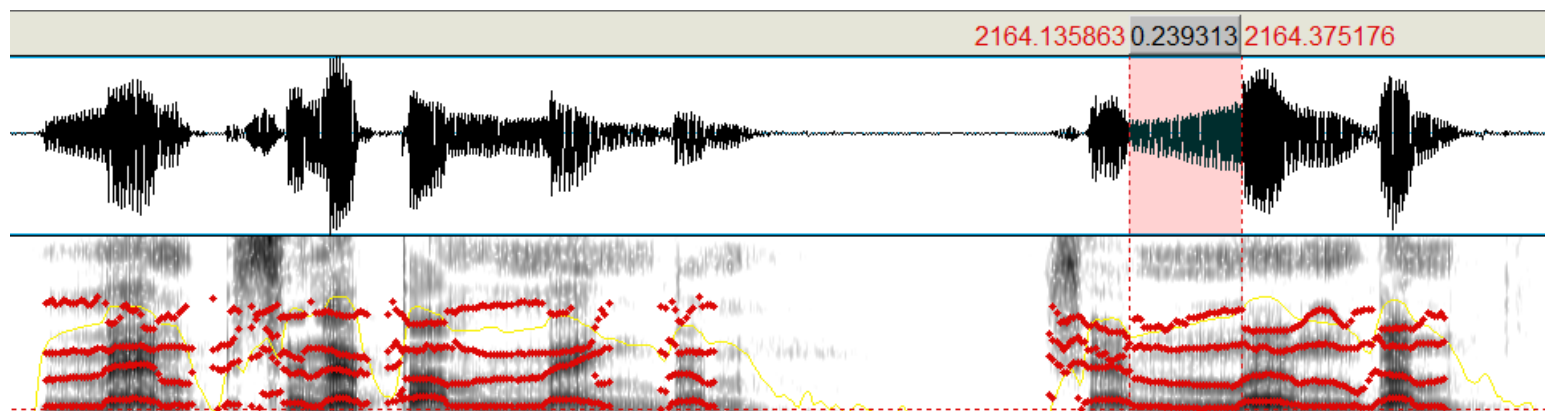
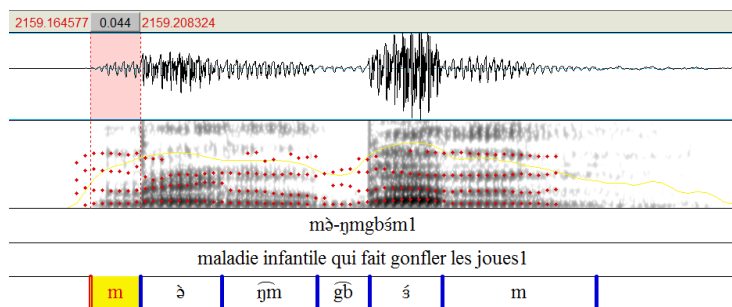


Consonant length in the nonsense word *mè-màmà* (Eton, Bantu A70)



- Corrective focus on the prefix V realized with prefix C-emphasis

Eton (A70)



FR+ET: Mais, ce n'est pas mè-ηmgbám (FOC), c'est mè-ηmgbám (FOC)

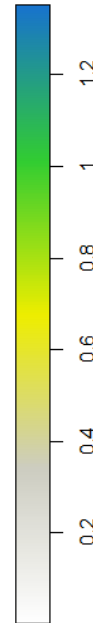
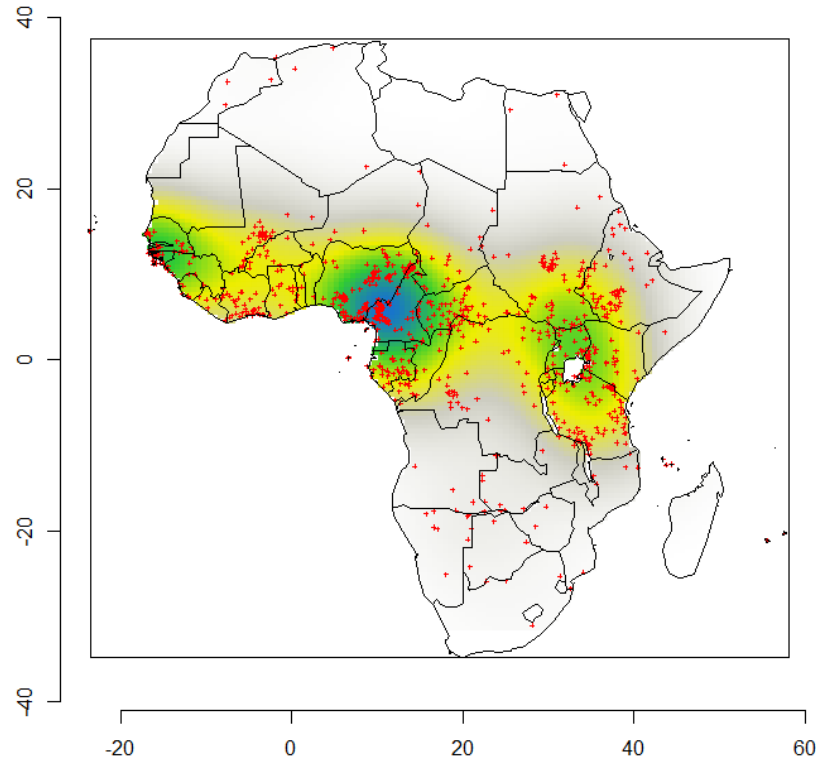
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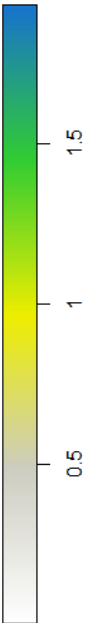
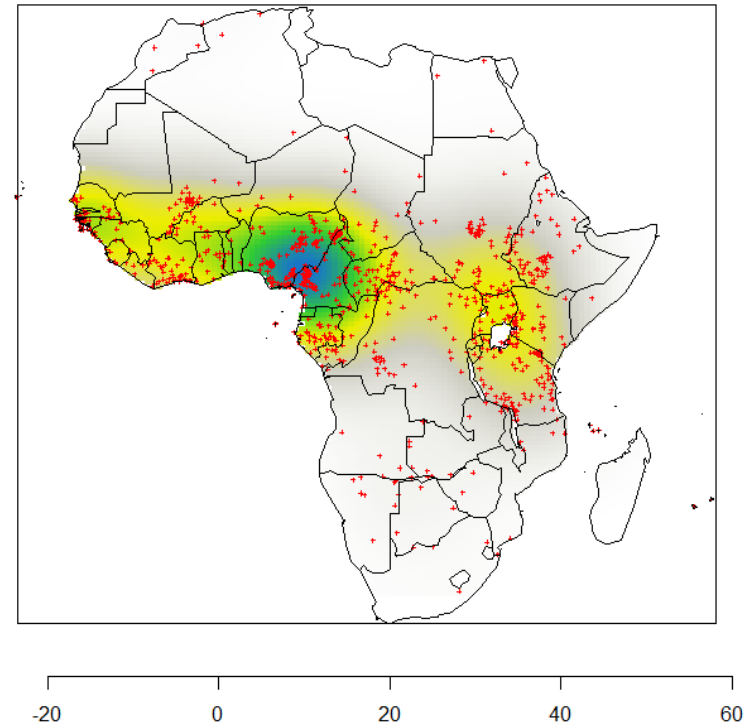


- In a broader perspective, **C-emphasis prosody** is a very good candidate for the role of a **major driving force** behind the emergence of several other types of sounds, such as labial flaps, bilabial trills, and possibly clicks

LVall: geographic distribution



LVallYN: geographic distribution



LVall

1074 languages with frequency data:

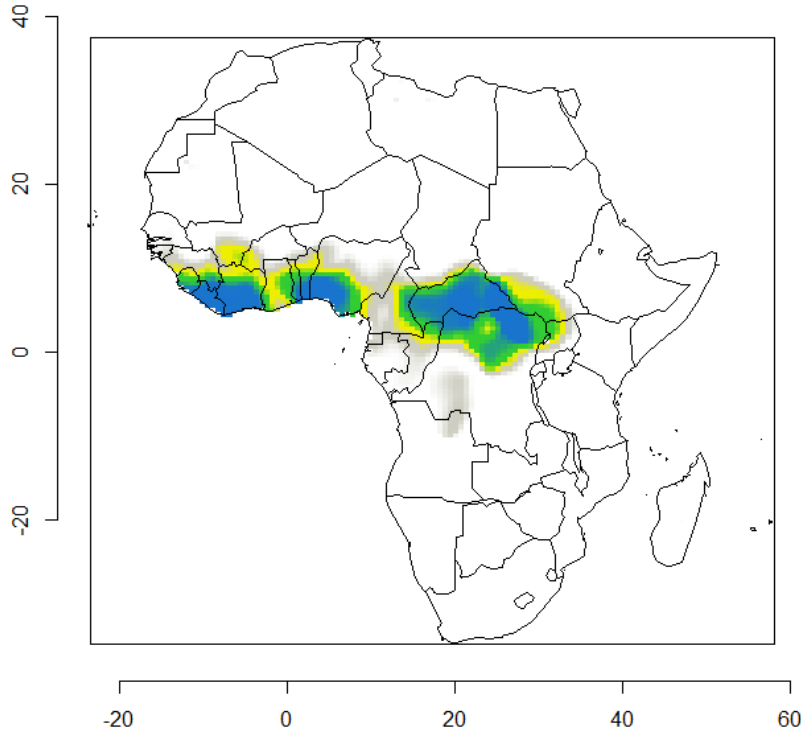
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LVallYN

1304 languages with LV:

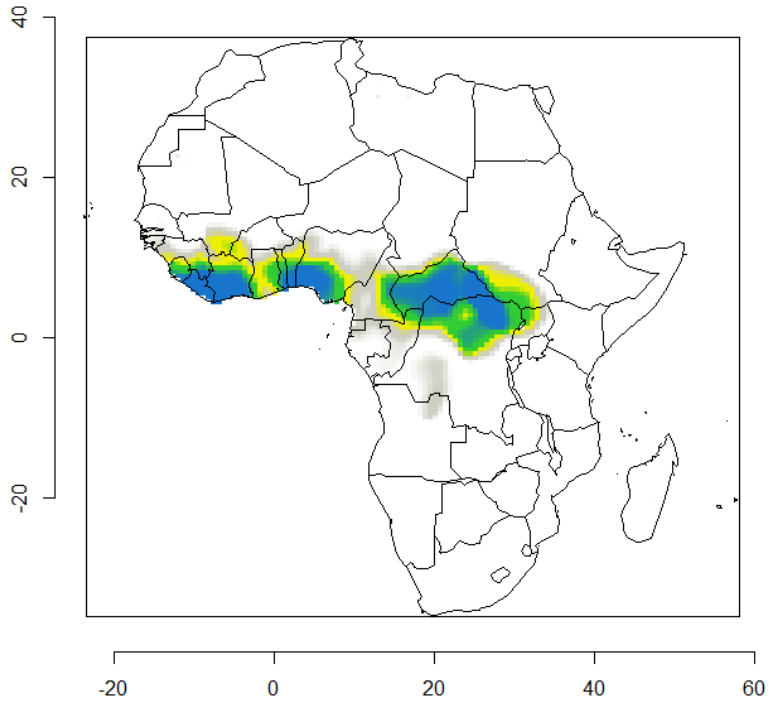
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Spatially interpolated log-LVFreq (for LVall)

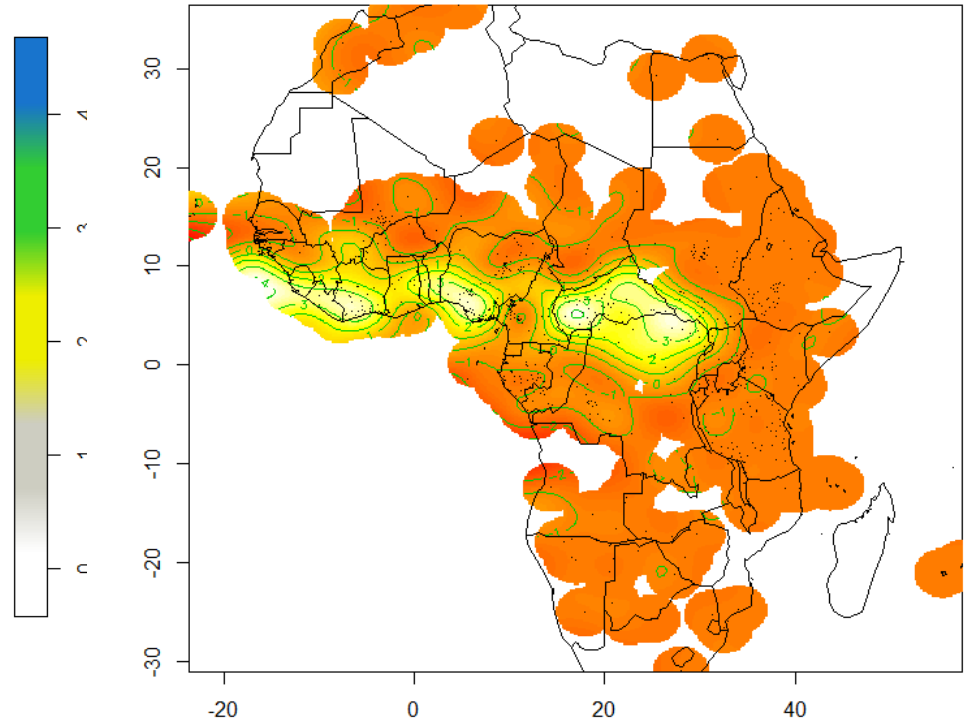


- 2 clearly separated clusters
 - Coastal West Africa (possibly itself composed of 2 sub-clusters)
 - Central Africa
- possibly, + 1 less prominent cluster
 - SE Mali & SW Burkina-Faso
- 1 major spatial discontinuity
 - NE Nigeria & Cameroon
- 1 minor spatial discontinuity
 - Ghana

Spatially interpolated log-LVFreq (for LVall)



Regression surface of GAM of log-LVFreq
as a function of longitude and latitude

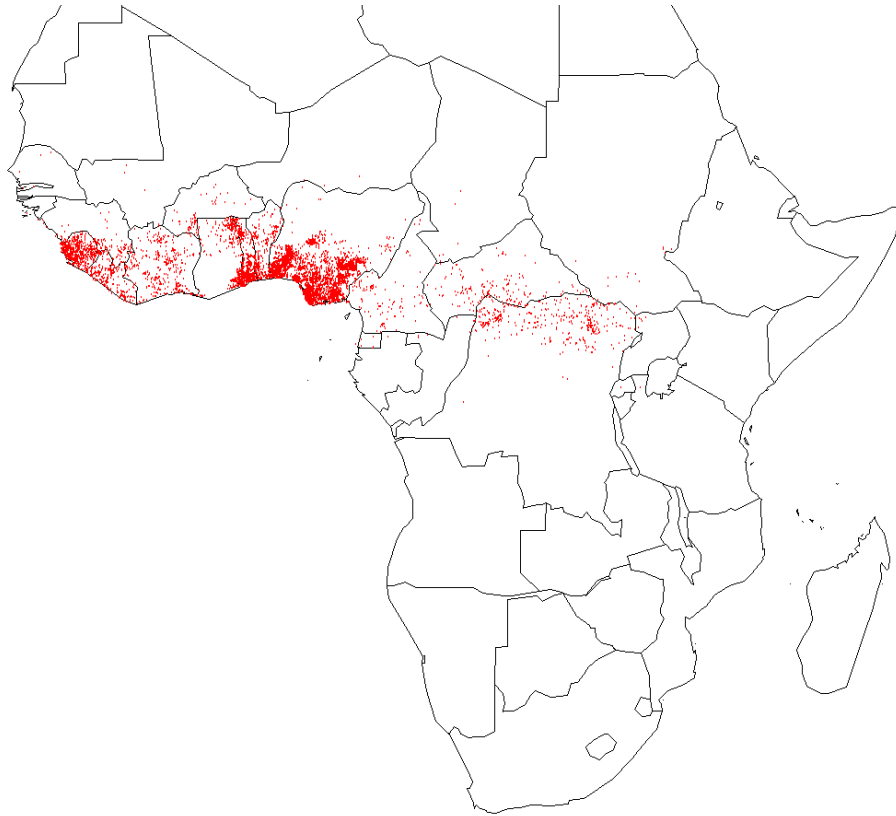


(thin-plate regression splines, k=16, family=Gaussian)

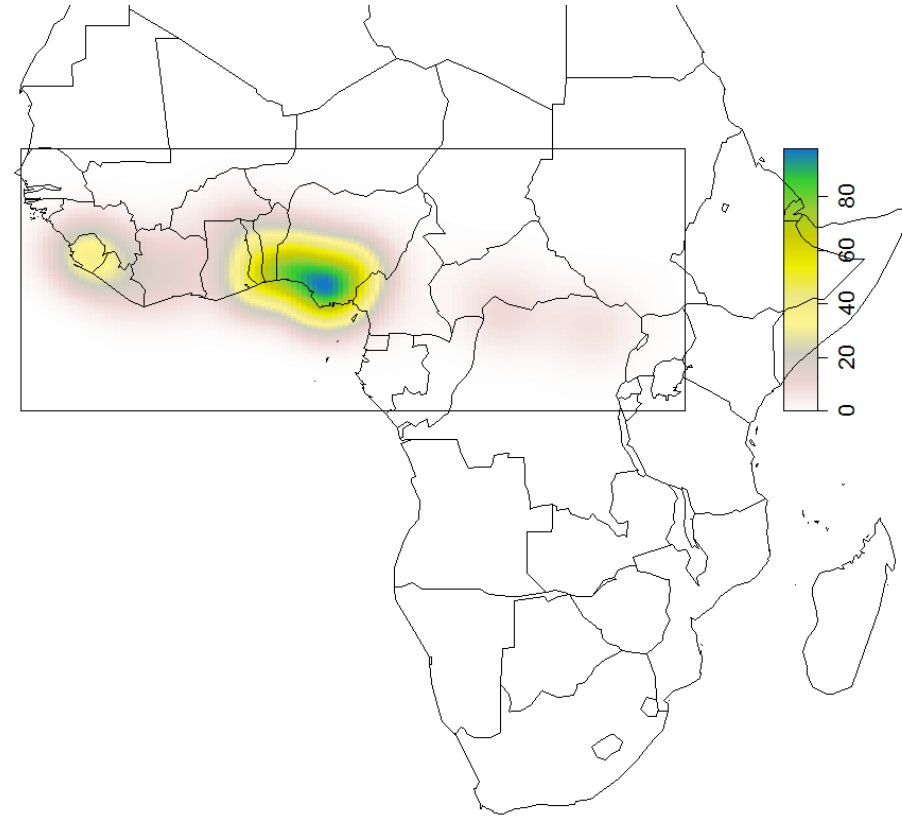
- How can we **cross-validate** our model?
- Spatial distribution of **settlement names spelled with a LV** (such as “kp”, “gb”, Yoruba “p”) on the assumption that:
 - **H₀**: Frequency of settlement names with LV in a given area should roughly correlate with (be representative of) lexical frequency of LV in the languages spoken in the area
- **Big data approach**: quantity compensates for quality
- Settlement names data source: **GeoNames.org**



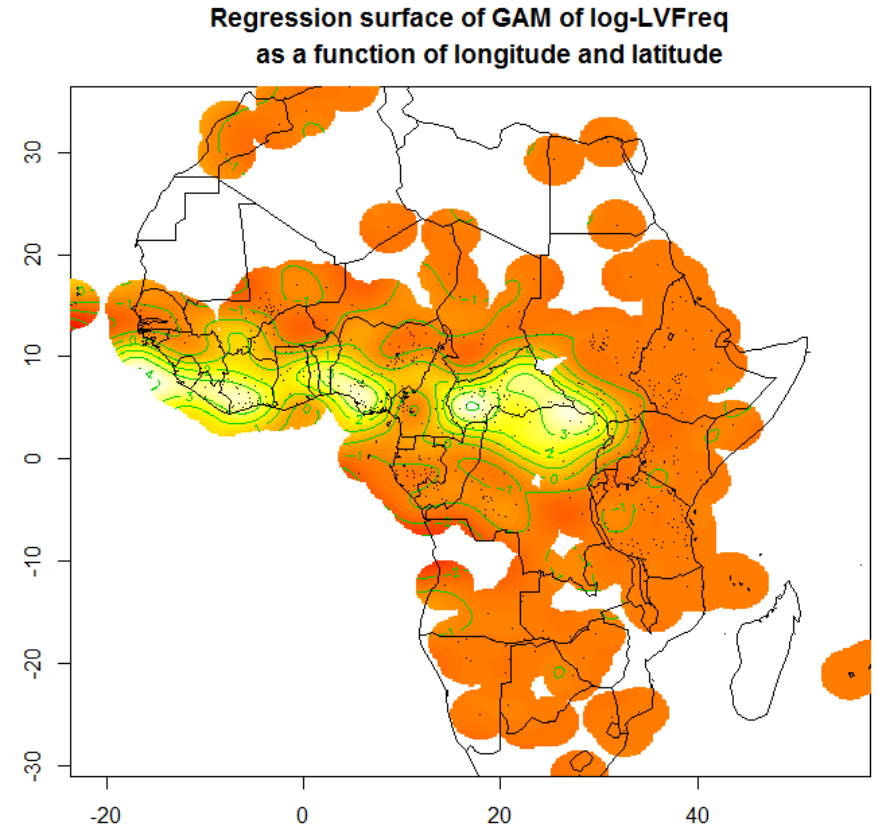
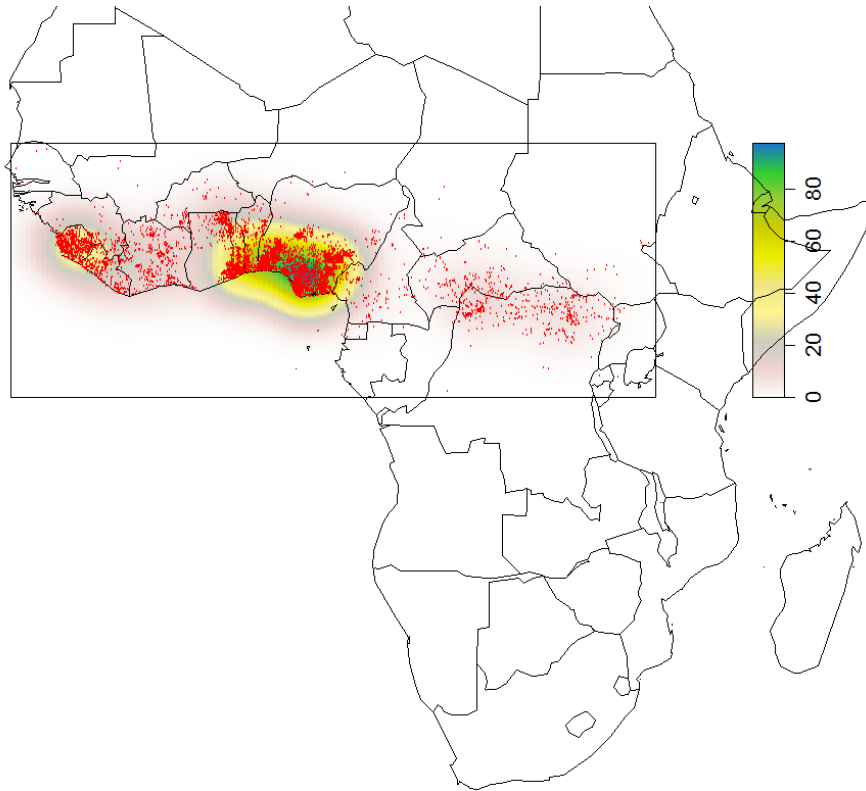
MODEL CROSS-VALIDATION



Unique settlement names with a <LV> (<kp>, <gb>, Nigerian Yoruba <p>)



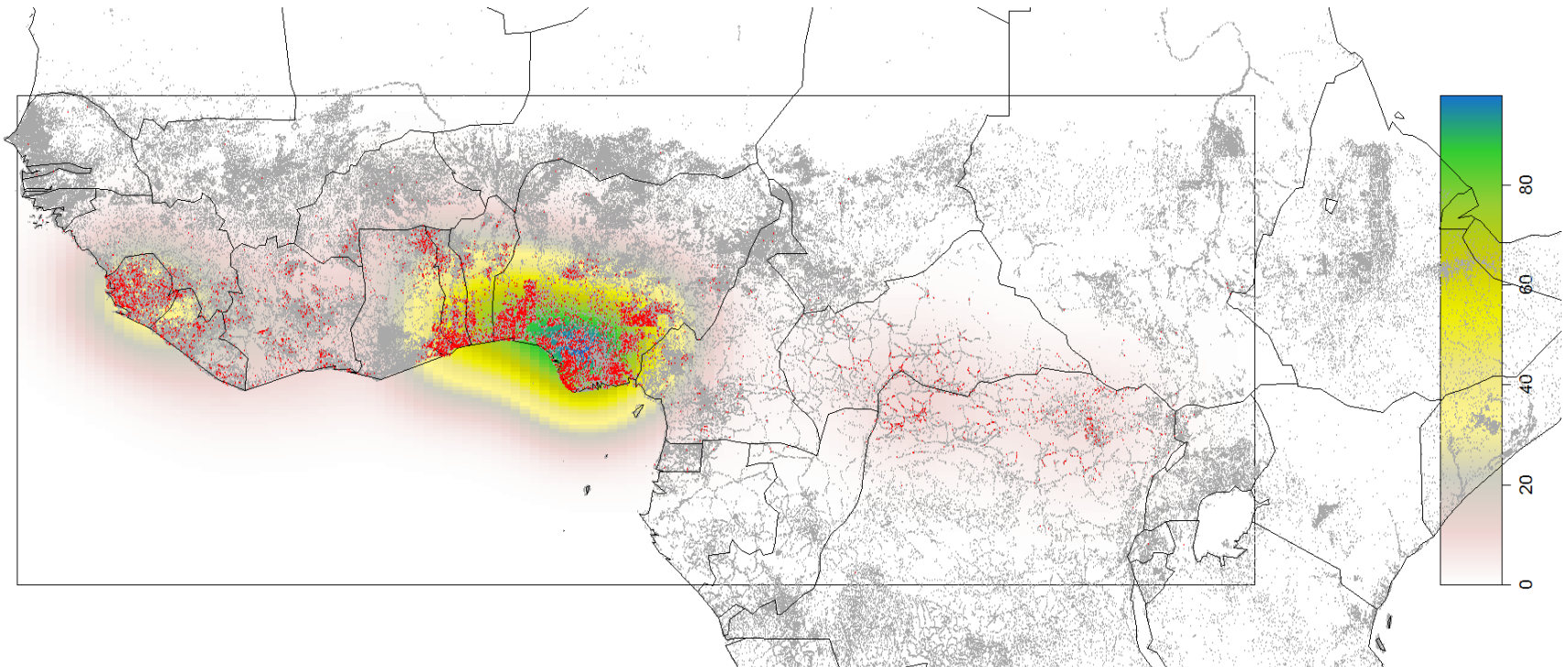
Spatial intensity of unique settlement names with a <LV>



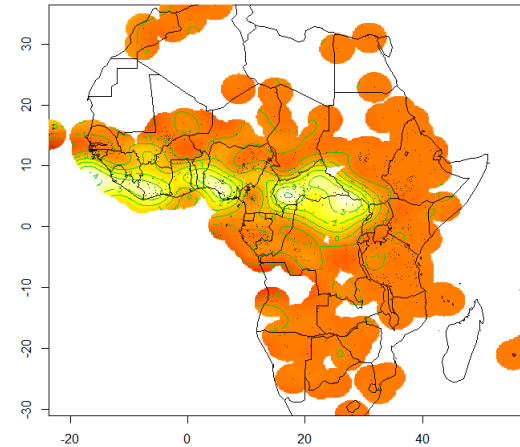
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Spatial intensity of unique settlement names
with a <LV>

- The significance of the clusters should be evaluated against the general **population density** in the respective areas:
 - The seeming weakness of the E-most cluster is an artefact of the low population density in Central Africa
 - Both discontinuities are significant



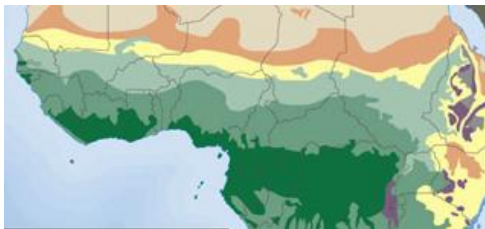
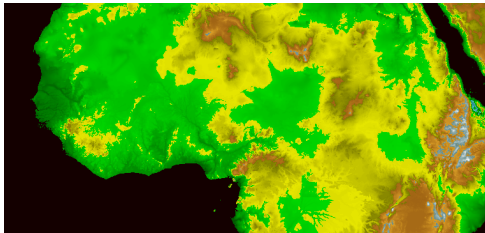
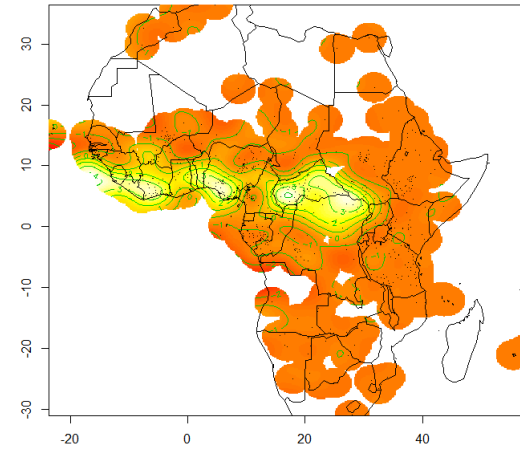
Regression surface of GAM of log-LVFreq
as a function of longitude and latitude



(thin-plate regression splines, k=16, family=Gaussian)

- **Logically**, the 3 major zones of high LVFreq (and the possible minor zone) are most likely to be **refuge zones**:
 - Typologically, LV are rare
 - Several emergent hotbeds of high LVFreq historically independent of each other are unlikely

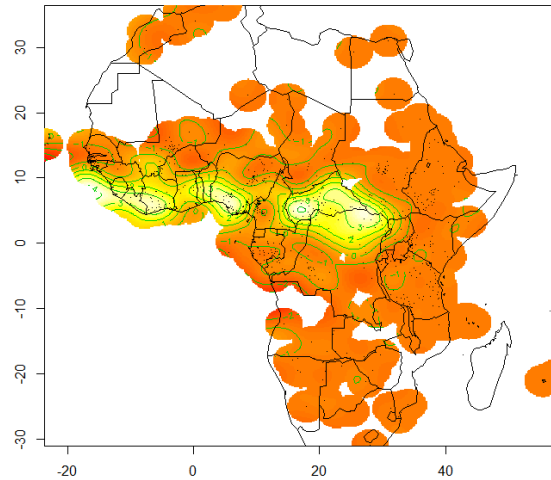
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- **Geographically**, the 3 major zones of high LVFreq (and the possible minor zone) are **refuge zones**: mostly forests delimited by **natural boundaries** (sea, savanna, mountain ranges)
- Ghana discontinuity \approx Dahomey forest gap
- NE Nigeria & Cameroon discontinuity \approx Adamawa Plateau, Cameroon mountains



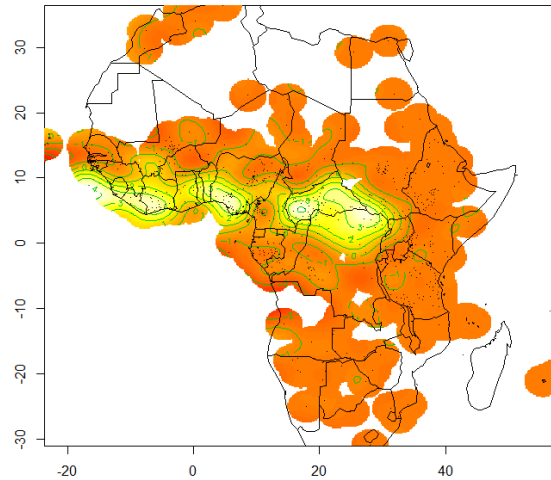
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- “hotbeds” → **older presence** of LV and ultimately SIC-accent and C-emphasis prosody
- Given the refuge zone nature of the “hotbeds”, they are probably “hotbeds” not so much for spread but for **retention** of the feature C-emphasis and derived features, including SIC-accent & LV, present in the original population

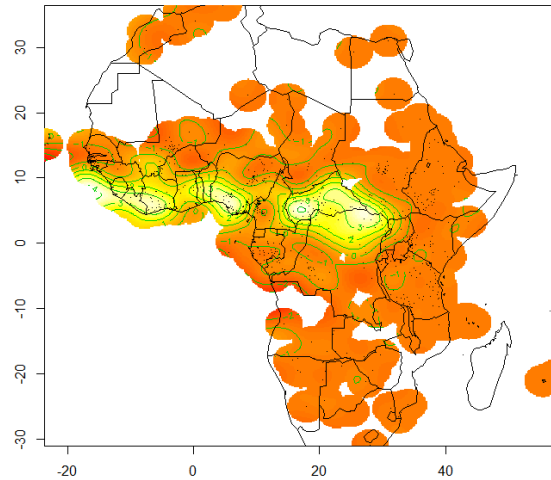
Regression surface of GAM of log-LVFreq
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- **Genetic build-up** of hotbeds & their outskirts is diverse:
 - W: mostly Niger-Congo, except the extreme W
 - E: Gbaya, Ubangian, parts of Central Sudanic
- Linguistically, the original population with CE-prosody/SIC-accent/LV may be almost any of these (**unlikely Niger-Congo** or Central Sudanic) or none
- Hotbeds as refuge zones & retention:
 - hotbeds || language shift
 - outskirts || change in language contact situations

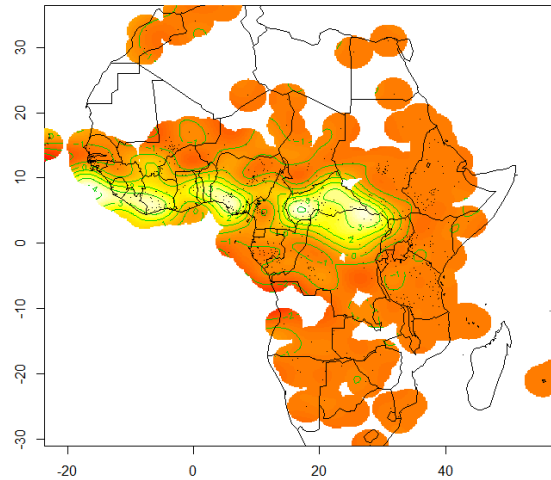
Regression surface of GAM of log-LVFreq
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(thin-plate regression splines, k=16, family=Gaussian)

- LV (and correlated phonetic and phonological features) **should not be reconstructed** for Proto Niger-Congo or any of its major branches
- We should also be very **cautious** about reconstructing LV for lower-level branches (problems with “**the majority wins**” rule)

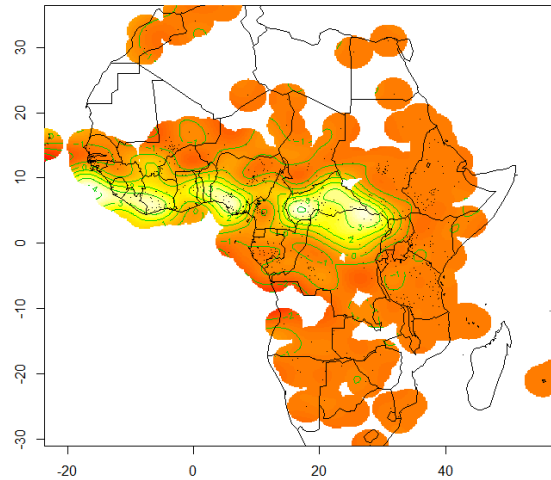
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(thin-plate regression splines, k=16, family=Gaussian)

- A rather **northern** localization of the **homelands** of most **major branches** of Niger-Congo
- In **grassland** and **savanna** ecoregions
- The homeland of **Proto Niger-Congo** is then likely to have been located in the northern part of the former extent of grassland and savanna ecoregions
- Probably, somewhere in **present-day Sahel** or **southern Sahara**.

Regression surface of GAM of log-LVFreq
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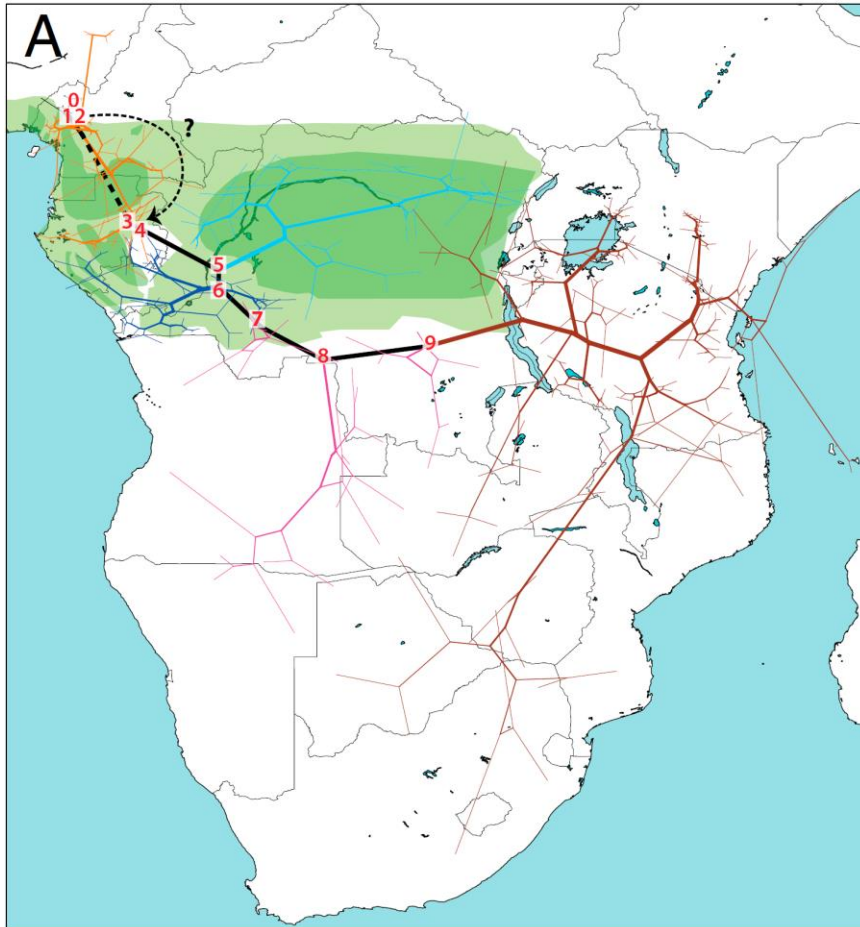


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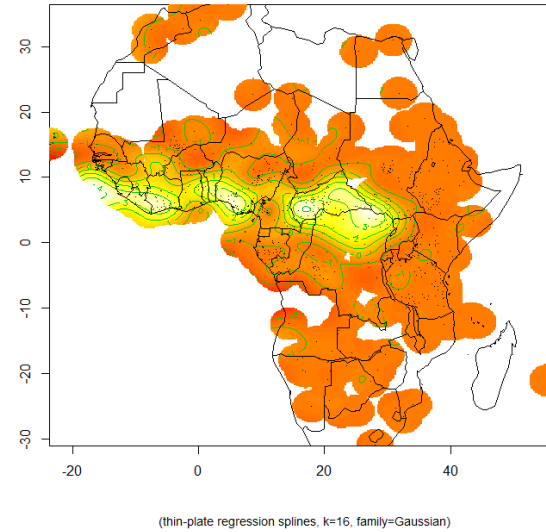
- Bantoid & Adamawa appear to have arrived in the area relatively recently
- Bantoid may have passed it & then re-entered or just entered late
- The spread of Bantoid must have also been rather quick without much language shift involved (except in the N of Congos)
- This model also supports the “East-out-of-West” hypothesis of the E Bantu emergence with the E Bantu break-off point somewhere south of the rainforest



HISTORICAL IMPLICATIONS



Regression surface of GAM of log-LVFreq
as a function of longitude and latitude



- This model also supports the “East-out-of-West” hypothesis of the E Bantu emergence with the E Bantu break-off point somewhere south of the rainforest

Grollemund et al. (2015:3)